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Commissioner for Patents
Alexandria, VA 22313-1450
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Registered Representative

C. Noel Kaman

Signature

12 November 2003

Date of Signature

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Our Case No.: 10466/134
P2548P1C15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Baker et al.

Serial No.: 09/944,884

Filing Date: 08/31/2001

For: Secreted and transmembrane
polypeptides and nucleic acids
encoding the same

Examiner: Ruixiang Li

Group Art Unit No.: 1646

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

11/18/2003 ZJUHR1 00000135 09944884

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The references now cited are the following:

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No.	Date	Name
B1	1 December 1998	Baker, K.P. et al, GenBank Accession No. P_AAX80043
B2	1 December 1999	Baker, K.P. et al, GenBank Accession No. P_AAA49551
B3	2001	Yamada, S. et al, GenBank Accession No, NM_017680
B4	15 February 2000	Kawabata, A. et al, GenBank Accession No. AK000136
BB1	1 December 1999	Baker, K.P. et al, GenBank Accession No. P_AAB01311
BB2	2001	Lorenzo, et al., GenBank Accession No. AAK35161
BB3	1 December 1998	Baker, K.P. et al, GenBank Accession No. P_AAY17820

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed in accordance with 37 C.F.R. § 1.97(c). The Commissioner is hereby authorized to deduct \$180.00 for the fee set forth in 37 C.F.R. §§ 1.97(c) and 1.17(p) from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. Should any other fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct those fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

C. Noel Kaman

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FORM PTO-1449	SERIAL NO. 09/944,884	CASE NO. 10466/134
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE 08/31/2001	GROUP ART UNIT 1646
(use several sheets if necessary)		APPLICANT(S): Baker et al.

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	NAME	CLASS/ SUBCLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER <small>Number-Kind Code (if known)</small>	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS <small>(Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.</small>	
	B1	Baker, K.P. et al, GenBank Accession No. P_AAX80043, 1 December 1998
	B2	Baker, K.P. et al, GenBank Accession No. P_AAA49551, 1 December 1999
	B3	Yamada, S. et al, GenBank Accession No, NM_017680, 2001
	B4	Kawabata, A. et al, GenBank Accession No. AK000136, 15 February 2000
	BB1	Baker, K.P. et al, GenBank Accession No. P_AAB01311, 1 December 1999
	BB2	Lorenzo, et al., GenBank Accession No. AAK35161, 2001
	BB3	Baker, K.P. et al, GenBank Accession No. P_AAY17820, 1 December 1998

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.